

## United States Patent and Trademark Office

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/788,895

02/27/2004

AUG 1 9 200

Scot Olson

H0005012--1623

00128

HONEYWELL INTERNATIONAL INC 101 COLUMBIA ROAD

P O BOX 2245

MORRISTOWN, NJ 07962-2245

RECEIVED

AUG 0 6 2004

**CONFIRMATION NO. 4986** 

**FORMALITIES LETTER** 

OC000000013285740\*

Date Mailed: 07/20/2004

# NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

### Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 06/21/2004 to the Notice to File Missing Parts (Notice) mailed 05/20/2004 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application. Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

· The oath or declaration is unsigned.

Replies should be mailed to:

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Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service

Initial Patent Examination Division (703) 308-1202

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PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031

#### U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TRANSMITTAL Application Number 10/788.895 **FORM** Filing Date February 27, 2004 (to be used for all correspondence after initial filing) First Named Inventor Scot OLSON Art Unit 2821 **Examiner Name** Unknown Total Number of Pages in This Submission H0005012--1623 Attorney Docket Number ENCLOSURES (check all that apply) After Allowance Communication Fee Transmittal Form Drawings(s) to Technology Center (TC) Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to TC Petition Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request Terminal Disclaimer identify below): **Express Abandonment Request** 2 Pages Executed Combined Request for Refund Declaration and Power of Attorney: Information Disclosure Statement Copy of Notice of Incomplete Reply; CD, Number of CD(s) Check in the amount of \$240.00. Certified Copy of Priority Document(s) Response to Missing Parts/ Remarks Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm S. Jared Pitts, Reg. No. 38,579 Individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Type or printed name S. Jared Pitts Signature Date 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. SEND FEES OR COMPLETED FORMS TO: Mail Stop Assignment Recordation Services, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE and to a collection of information unless it displays a valid OMB control number.

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	FEE TRANSMITTAL	
	for FY 2004	Ľ

Effective 10/01/2003. Patent fees are subject to annual revision.

\_\_\_ Applicant claims small entity status. See 37 CFR 1.27

OTAL	AMOUNT OF PAYMENT	(	\$) 240.00

spond to a conection of information pricess it displays a valid only control number.					
Complete if Known					
Application Number	10/788,895				
Filing Date	February 27, 2004				
First Named Inventor	Scot OLSON				
Examiner Name	Unknown				
Art Unit	2821				
Attorney Docket No.	H00050121623				

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None	3. ADDITIONAL FEES					
Deposit Account:	Large Ent	$\neg$				
Deposit Account 50-2091	Fee Fe Code (\$			Fee (\$)	Fee Description	Fee Paid
Number	1051 1	30	2051	65	Surcharge - late filing fee or oath	130.00
Deposit Account Ingrassia Fisher & Lorenz	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name The Director is authorized to: (check all that apply)	1053 1	30	1053	130	Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1812 2,5	520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804 9	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee	1805 1,8	840*	1805	1 840*	Requesting publication of SIR after	
to the above-identified deposit account.		ı		·	Examiner action	
FEE CALCULATION	1	110	2251		Extension for reply within first month	110.00
1. BASIC FILING FEE	1	420	2252	210	Extension for reply within second month	
Large Entity Small Entity Fee Fee Fee Fee Paid		950	2253		Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid Code (\$)	1254 1,4	480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee	1255 2,0	010	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401 3	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402 3	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403 2	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451 1,5	510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$)	1452 1	110	2452	55	Petition to revive - unavoidable	
	1453 1,3	330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501 1,3	330	2501	665	Utility issue fee (or reissue)	
Extra Claims below Fee Paid	1502 4	480	2502	240	Design issue fee	
Total Claims zo** = X =	1503 6	640	2503	320	Plant issue fee	
Claims Multiple Dependent	1460 1	130	1460	130	Petitions to the Commissioner	
Multiple Dependent		50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity   Fee Fee   Fee Fee   Fee Description	1806 1	180	1806		Submission of Information Disclosure Stmt	
Code (\$) Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809 7	770	2809	385	Filing a submission after final rejection	
1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid	1810 7	770	2810	305	(37 CFR 1.129(a)) For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims	1010 /	′′′	2010	, 300	examined (37 CFR 1.129(b))	
over original patent	1801 7	770	2801	385	Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 9	900	1802	900	Request for expedited examination of a design application	
	Other fee	e (spe	ecify) _			
SUBTOTAL (2) (\$)' **or number previously paid, if greater; For Reissues, see above	*Reduce	d by	Basic F	Filing F	ee Paid SUBTOTAL (3) (\$) 240.0	00

SUBMITTED BY

(Complete (if applicable))

Name (Print/Type)

S. Jared Pitts

(Registration No. (Attorney/Agent))

Signature

(Complete (if applicable))

Telephone 480-385-5060

Date

(Attorney/Agent)

Date

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Scot OLSON et al.

Art Unit: 2821

Serial No.:

10/788,895

Examiner: Unknown

Filed:

February 27, 2004

Attny. Docket No.: H0005012--1623

For: FLUORESCENT LAMP DRIVER SYSTEM

#### CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450\_Alexandria, VA 223/8-1456 on the date shown below.

Signature:

S. Jared Pitts

# RESPONSE TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### Commissioner:

In response to the Notice of Incomplete Reply (Nonprovisional) ("Notice") mailed July 20, 2004, please find enclosed herewith the following items:

- 1) Transmittal Form;
- 2) Fee Transmittal;
- 3) Copy of the Notice;
- 4) Petition for Extension of Time;
- 5) Executed Declaration and Power of Attorney; and
- 6) Check in the amount of \$240.00 (\$130.00 surcharge and \$110.00 for 1-month extension of time).

Please charge any additional fees or credit any overpayment related to this filing to Deposit Account No. <u>50-2091</u>.

Date: 16 Ay 2009

Respectfully submitted,

S. Jared Pitts

Reg. No. 38,579